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March 27, 2002 On:

Eric L. Maldonado

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Attorney Docket No. 100809-00160 (SCET 18.497)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Isamu TERASAKA, et al.

RECEIVED

Serial No.:

09/812,726

APR 1 0 2002

Filed:

March 20, 2001

Technology Center 2100

Title:

RECORDING MEDIUM HAVING ENCRYPTED SOUND DATA...

Examiner:

Art Unit:

March 27, 2002

**Assistant Commissioner for Patents** Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this statement is being filed prior to issuance of a first Office Action, no fee is due.

As these documents are written in a language other than English, English Language abstracts and translations are annexed thereto explaining their relevancy in accordance with 37 CFR §1.98(a)(3).

Also attached is a copy of a Japanese Office Action dated February 12, 2002 from the corresponding International Patent Application. All of the documents listed in Form PTO- 1449 appear in the Japanese Office Action.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged on Deposit Account 50-1290.

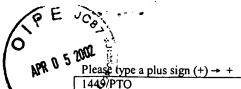
Respectfully submitted,

Thomas J. Bean

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ROSENMAN & COLIN, LLP 575 MADISON AVENUE 15TH FLOOR NEW YORK, NEW YORK 10022-2585 (212) 940-8800 DOCKET NO. 100809-00160(SCET 18.497)

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**Examiner Name** 

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149/PTO U.S. Department of Commerce Application No. : 09/812,726

Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 1 of 1

Application No.	: 09/812,726	
Filing Date	: MARCH 20, 2001	
First Named Invent	or: Isamu TERASAKA	
Group Art Unit	:	

Attorney Docket No.: SCET 18.497

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		2000-252974	JP	KOBE STEEL LTD	09/14/2000	
		09-017119	JP	SONY CORP	01-02-1997	

## Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTE appropriate), title of the item (book, magazine, jo data, page(s), volume-issue number(s), publisher,	Applicant check her if English language translation attached		
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Examiner Signature			Date Considered	Technology Center 2100	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \* Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1\* if possible. \* Applicant is to place a check mark here if English language Translation is attached.

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